



## **PADAM TOWERS SECURITIES LIMITED**

(FORMERLY UNLOCK WEALTH SECURITIES LIMITED)

CIN : U67120UP2000PLC025330

MEMBER : BSE, NSE, MSE  
SEBI Regn. No. : INZ000159835

DP-CDSL SEBI Regn. No. IN-DP-CDSL-711-2022

IDs BSE-298, NSE-11168, MSE-15000, DP-CDSL-13061300

Regd. Office : PADAM TOWERS, 14/113, CIVIL LINES, KANPUR - 208 001

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E-mail : upsecltd@gmail.com, ceounlockwsl@gmail.com

EMAIL ID FOR INVESTOR COMPLAINTS : upseseccomplaints@gmail.com



### **Our Policy regarding Unauthenticated news circulated through various modes of communication.**

1. Our Managerial staff, employees, dealers, temporary staff, and Authorised Person etc. will not encourage of circulate rumours or unverified information through email, SMS, BLOGS, Chat forums, Messenger sites, printed material. Any audio/visual medium and print and electronic media in connection with stock market and depository operations of Stock Exchanges/Depositories and in connection with Padam Towers Securities Limited being a SEBI registered intermediary.
2. Our managerial staff, employees, dealers, temporary staff and Authorised person etc. will forward all kind of market news received by them in their official or personal capacity for approval of the Compliance Officer of the company before onward transmission/communication to the clients and other related parties dealing with Padam Towers Securities Limited. If any one of our managerial staff, employees, dealers, temporary staff and Authorised person etc. fails to do so, he/she shall be deemed to have violated the various provisions contained in SEBI Act/Rules/Regulations etc.
3. Our managerial staff, employees, dealers, temporary staff and Authorised Person etc. will obtain prior approval of Compliance Officer of the company before circulation of any news in connection with stock Market and depository operations by using company's/ personal mode of communication.
4. Compliance Officer of the company will examine and approve use of mode of communication as per requirement of concerned user as mentioned above.