

UNLOCK WEALTH SECURITIES LIMITED

(FORMERLY UPSE SECURITIES LIMITED)

CIN: U67120UP2000PLC025330

"Padam Towers", 14/113, CIVIL LINES, KANPUR- 208001

DP ID 13061300 SEBI Reg.No.IN-DP-CDSL-512-2009

Mobile No: 8004252631 , 8004252128



Rate Structure of Unlock Wealth SECURITIES LTD.

S. No.	Particulars	Rate
1. (a)	Account opening Charges (Individual)	NIL
(b)	Account opening Charges (Corporate)	NIL
(c)	Stamp Fee (At actual, as applicable from time to time) For account opening For Power of Attorney	Presently Rs. 100.00 Rs.100.00
2.	Annual Maintenance Charges : (a) Individual: (b) Corporate: The Annual Maintenance Charges will be applicable from the 1 st date of the month in which the account is opened. It will be payable upfront. [Annual Maintenance Charges for non-corporate existing BO account will be Rs. NIL, if Interest Free Security Deposit of Rs. 6,000.00 (Refundable) is received. w.e.f 10.06.2024, in this case while closing the demat account, , the current year's AMC Charges will be deducted from the said Interest free Security. New Account opened from 10.05.2024, the new rate will be applicable.]	Rs. 600.00 Rs. 1200.00
3.	Dematerialization Postage Charges will be levied Rs. 60.00 upto first 05 certificates and additional Rs. 20.00 for every 05 certificates or in fraction thereof.	Rs. 30.00 per Certificate + Rs. 60.00 for Postage / Courier
4.	Demat Rejection (for Postage)	Rs. 60.00 for postage
5.	Rematerialization	Rs. 15.00 per certificate for every 100 securities or part thereof; Subject to maximum fee of Rs 6,00,000/- or a Flat fee of Rs 15/- per certificate, whichever is higher payable on confirmation of the request. + Rs 60.00 for postage /courier charges.
6.	Modification Charges (Per request) Postage Charges (per communication)	Rs. 50.00 Rs. 60.00
7.	Transaction Charges (Debit) For Power of Attorney For General Cases	Rs. 20.00 Rs. 40.00
8.	Pledge Creation / Confirmations / Closure	Rs. 20.00
9.	Pledge Invocation	Rs. 25.00
10.	Late Fee per Transaction Slip (As decided by the Company from time to time)	Rs. 10.00
11.	KRA/ KYC Charges	Rs. 35.00
12.	Minimum billing of transactions (except Annual Maintenance Charges) in financial year in a demat account	Rs. 2,000/- where total value of holding touched Rs. 1(one) crore or above on any day in the financial year. BO accounts where Interest Free Security Deposit of Rs. 4,000.00 (Refundable) is received, Rs. 1,600/-.

1. Charges for other services not covered above, be notified from time to time separately.

2. Service Tax / GST will be charged extra as applicable from time to time.

3. Unlock Wealth Sec. Ltd. reserves right to revise the above rate structure from time to time giving 30 days notice.

4. If the date of submission and date of execution are same, such instructions will be executed on a "best effort basis" at client risk.

5. Rate structure are effective from 01.01.2024

1st Holder Sign

2nd Holder Sign

3rd Holder Sign