Unlock Wealth Securities Limited
Investor Complaints Data
Data for every month ending September 2024

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending forless than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL		NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL		NIL
3	Depositories	NIL	NIL	NIL	NIL	NIL		NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL		NIL
5	**Grand Total**	NIL	NIL	NIL	NIL	NIL		NIL

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	April -2021	0	0	0	0
2	May-2021	0	0	0	0
3	June-2021	0	0	0	0
4	July-2021	0	0	0	0
5	Aug-2021	0	0	0	0
6	Sep-2021	0	0	0	0
7	Oct-2021	0	0	0	0
8	Nov-2021	0	0	0	0
9	Dec-2021	0	0	0	0
10	Jan-2022	0	0	0	0
11	Feb-2022	0	0	0	0
12	Mar-2022	0	0	0	0
13	Aprril-2022	0	0	0	0
14	May-2022	0	0	0	0
15	June-2022	0	0	0	0
16	July- 2022	0	0	0	0

17	August – 2022	0	0	0	0
18	September – 2022	0	0	0	0
19	October – 2022	0	0	0	0
20	November -2022	0	0	0	0
21	December -2022	0	0	0	0
22	January -2023	0	0	0	0
23	February -2023	0	0	0	0
24	March -2023	0	0	0	0
25	April -2023	0	0	0	0
26	May -2023	0	0	0	0
27	June-2023	0	0	0	0
28	July- 2023	0	1	1	0
29	August- 2023	0	0	0	0
30	September-2023	0	0	0	0
31	October -2023	0	0	0	0
32	November-2023	0	0	0	0
33	December-2023	0	0	0	0
34	January-2024	0	0	0	0
35	February-2024	0	0	0	0
36	March-2024	0	0	0	0
37	April-2024	0	0	0	0
38	May-2004	0	1	0	1
39	June-2024	1	0	1	0
40	July-2024	0	0	0	0
41	August- 2024	0	0	0	0
42	September-2024	0	0	0	0
	Grand Total	**0**	**2**	**2**	**0**

*Should include complaints of previous months resolved in the current month, if any.
**Should include total complaints pending as on the last day of the month, if any.
^Average resolution time is the sum total of time taken to resolve each complaint in thecurrent month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	0	0	0	0
2	2018-19	0	0	0	0
3	2019-20	0	0	0	0
4	2020-21	0	1	1	0
5	2021-22	0	0	0	0
6	2022-23	0	0	0	0
7	2023-24	0	1	1	0
8	2024-25	0	1	1	0
	Grand Total	**0**	**3**	**3**	**0**