

Unlock Wealth Securities Limited

Policy on Limit Setting – Execution of Orders

In compliance of NSE Circular No. 79 and 80 both dated 23.10.2012 and BSE notice dated 01.11.2012, it has been decided to set the following limits:

For Capital Market Segment:

1. Quantity limit for each order : 10, 000
2. Value limit for each order : Rs. 8.00 Lakhs
3. User value limit for each user Id : As required by the Authorised Person within the total deposit
4. Branch value limit for each branch : Not Applicable
5. Security wise limit for each user Id: As per margin deposit

For F & O Segment:

1. Quantity limit for each order : 25,000
2. Value limit for each order : Rs. 20.00 Lakhs
3. User value limit for each user Id : As required by the Authorised Person within the total deposit
4. Branch value limit for each branch : Not Applicable
5. Spread order Quantity and Value limit: 25,000 and Rs. 20.00 Lakhs

On specific request of an AP the limits may change subject to overall limits prescribed by the exchanges.