

Unlock Wealth Securities Limited

Policy to Handle Modification of Client Code vis-à-vis Error Policy

As per SEBI, BSE and NSE Circulars and Notices, Authorised Person are allowed to modify client code by submission of a written request therein also intimating the reason (within the criteria laid down by SEBI, BSE and NSE for modification of client code in case of genuine error or modification within relatives), within the post close session or as permitted by SEBI, BSE and NSE from time to time and the penalties charged by BSE and NSE in this respect shall be recovered from the concerned Authorised Person.

For any client code modification, the Authorised Person will not be able to do the same from his terminal, but he shall have to intimate to Computer Department of the company within the prescribed time.

Since, company do not trade in pro account, therefore, Error Account / code, is not required to be opened.