

**Unlock Wealth Securities Limited**  
Disclosure of Investor Complaints Data

Data for every month ending: October 2024

S N	Received from	Carri ed forwa rd d from 1revi ouss month	Rec eiv ed duri ng the mon th	Total Pendin g	Resolve d*	Pending at the end of the month**		Avera ge Resol uti on time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	NIL	1	1	NIL	NIL	1	N.A.
2	SEBI (SCORES)	2	1	0	3	NIL		N.A.
3	Stock Exchang es	NIL	NIL	NIL	NIL	NIL		N.A.
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL		N.A.
5	<b>Grand Total</b>	NIL	NIL	NIL	NIL	NIL		N.A.

**Trend of monthly disposal of complaints**

SN	Month	Carried forward from previous Month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	April - 2022	NIL	NIL	NIL	NIL
2	May - 2022	NIL	NIL	NIL	NIL
3	June -2022	NIL	NIL	NIL	NIL
4	July -2022	NIL	NIL	NIL	NIL
5	August – 2022	NIL	NIL	NIL	NIL
6	September -2022	NIL	NIL	NIL	NIL
7	October -2022	NIL	NIL	NIL	NIL
8	November -2022	NIL	1	NIL	1
9	December -2022	1	NIL	1	NIL

10	January – 2023	NIL	1	1	NIL
11	February-2023	NIL	NIL	NIL	NIL
12	March- 2023	NIL	1	1	NIL
13	April - 2023	NIL	NIL	NIL	NIL
14	May -2023	NIL	NIL	NIL	NIL
15	June – 2023	NIL	NIL	NIL	NIL
16	July -2023	NIL	NIL	NIL	NIL
17	August -2023	NIL	NIL	NIL	NIL
18	September-2023	NIL	1	NIL	1
19	October-2023	1	NIL	NIL	1
21	November-2023	1	NIL	NIL	1
22	December- 2023	1	NIL	NIL	1
23	January-2024	1	NIL	NIL	1
24	February-2024	1	NIL	NIL	1
25	March-2024	1	NIL	NIL	1
26	April-2024	1	NIL	NIL	1
27	May-2024	1	NIL	NIL	1
28	June-2024	1	2	2	1
29	July-2024	1	3	0	4
30	August-2024	4	0	3	1
31	September-2024	1	2	0	3
32	October-2024	3	1	3	1
	<b>Grand Total</b>	1	12	11	1

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

**Trend of annual disposal of complaints**

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	NIL	1	1	NIL
2	2018-19	NIL	3	3	NIL
3	2019-20	NIL	NIL	NIL	NIL
4	2020-21	NIL	1	1	NIL
5	2021-22	NIL	NIL	NIL	NIL
6	2022-23	NIL	3	3	NIL
7	2023-24	NIL	1	NIL	1
8	2024-25	1	8	8	1
	<b>Grand Total</b>	1	16	16	1