

UNLOCK WEALTH SECURITIES LIMITED

(FORMERLY UPSE SECURITIES LIMITED)

CIN: U67120UP2000PLC025330

"Padam Towers", 14/113, CIVIL LINES, KANPUR-208001

DP ID 13061300 SEBI Reg.No.IN-DP-CDSL-512-2009

Phone No: (0512) 2338220 • Fax No: (0512) 2338220



Revised Tariff Structure of Unlock Wealth Securities Ltd. DP

S. No.	Particulars	Rate	Effective date of Charges
1. (a)	Account opening Charges (Individual)	NIL	No Change
(b)	Account opening Charges (Corporate)	NIL	No Change
(c)	Stamp Fee (At actual, as applicable from time to time) For account opening For Power of Attorney	Presently Rs. 100.00 Rs. 100.00	No Change
2.	Annual Maintenance Charges : (a) Individual : (b) Corporate : The Annual Maintenance Charges will be applicable from the 1 st date of the month in which the account is opened. It will be payable upfront. [Annual Maintenance Charges for non-corporate BO account will be Rs. NIL, if Interest Free Security Deposit of Rs. 3,000.00 (Refundable) is received.]	Rs. 300.00 Rs. 850.00	01.04.2018
3.	Dematerialization Postage Charges will be levied Rs. 30.00 upto first 05 certificates and additional Rs. 20.00 for every 05 certificates or in fraction thereof.	Rs. 5.00 per Certificate + Rs. 30.00 for Postage / Courier	01.01.2018
4.	Demat Rejection	Rs. 25.00 for postage	No Change
5.	Rematerialization	Rs. 15.00 per Certificate + Rs. 30.00 for Postage / Courier	No Change
6.	Transaction Charges (Debit) For Power of Attorney For General Cases	Rs. 14.00 Rs. 19.00	01.01.2018
7.	Pledge Creation / Confirmations / Closure	Rs. 20.00	No Change
8.	Pledge Invocation	Rs. 25.00	No Change
9.	Late Fee per Transaction Slip (As decided by the Company from time to time)	Rs. 10.00	No Change
10.	KRA Charges	Rs. 35.00	No Change

1. Charges for other services not covered above, be notified from time to time separately.

2. Service Tax / GST will be charged extra as applicable from time to time.

3. Unlock Wealth Sec. Ltd. reserves right to revise the above rate structure from time to time giving 30 days notice.

4. If the date of submission and date of execution are same, such instructions will be executed on a "best effort basis" at client risk.

1st Holder Sign

2nd Holder Sign

3rd Holder Sign

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Rate Structure of Unlock Wealth Securities Ltd. DP for BSDA

S. No	Particulars	Rate
01.	Account Opening Charges	Nil
02.	Stamp Fee (At Actual) Stamp Fee (Power of Attorney)	100/- 100/-
03.	Kit Charges	Nil
04.	Annual Maintenance Charges : Charge Structure may be on a slab basis as indicated below : (i) Value of holding is upto 50,000.00 (ii) Value of holding from 50,001.00 to 2,00,000.00 (iii) If the value of holding in such BSDA exceeds the prescribed criteria at any date, the DP shall levy charges as applicable to regular accounts (Non BSDA) from that date onwards	Nil 100/-
05.	Dematerialization Postage / Courier Charges upto per 05 Certificates and in Multiple thereof 20/-	100/- per certificate + 100/- Postage / Courier
06.	Demat Rejection	100/- per Transaction
07.	Rematerialization	100/- per certificate + 100/- Postage / Courier
08.	Transaction Charges (Debit) For Power of Attorney (POA) For General Cases	40/- 50/-
09.	Pledge Creation / Confirmations / Closure	25/-
10.	Pledge Invocation	30/-
11.	Charges of Statements DP shall provide at least two free Statements. Additional physical statement (per statement)	10/-
12.	Late Fee per Transaction Slip (as decided by the Exchange from time to time)	10/-
13.	KRA Charges	35/-

1. Charges for other services not covered above, be notified from time to time separately.
2. Service Tax and Cess will be charged extra as applicable from time to time.
3. UPSE Securities Limited reserves right to revise the above rate structure from time to time giving 30 days' notice.
4. If the date of submission and date of execution are same, such instructions will be executed on a "best effort basis" at client risk.

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Rate Structure for CM Account

S.No.	Particulars	Rate fixed for Company DP
01.	Account Opening Charges (Individual)	NIL
02.	Account Opening Charges (Corporate)	NIL
03.	Annual Maintenance Charges	₹ 750.00
04.	Charges :	<ol style="list-style-type: none">1. Service Charges @ 0.005% * on transacted value, subject to minimum charges ₹ 3,000/- per quarter plus applicable service tax and cess thereon.2. No Charges on Securities pay-in to their CM Account

* For other CM Accounts [i.e. for CM Accounts other than of the Company's (UNLOCK WEALTH SECURITIES LIMITED's) own CM Accounts]