

UNLOCK WEALTH SECURITIES LIMITED

(FORMERLY UPSE SECURITIES LIMITED)

CIN: U67120UP2000PLC025330

"Padam Towers", 14/113, CIVIL LINES, KANPUR-208001

DP ID 13061300 SEBI Reg.No.IN-DP-CDSL-512-2009

Phone No: (0512) 2338220 & Fax No: (0512) 2338220



Rate Structure of Unlock Wealth SECURITIES LTD.

S. No.	Particulars	Rate
1. (a)	Account opening Charges (Individual)	NIL
(b)	Account opening Charges (Corporate)	NIL
(c)	Stamp Fee (At actual, as applicable from time to time) For account opening For Power of Attorney	Presently Rs. 100.00 Rs.100.00
2.	Annual Maintenance Charges : (a) Individual: (b) Corporate: The Annual Maintenance Charges will be applicable from the 1 st date of the month in which the account is opened. It will be payable upfront. [Annual Maintenance Charges for non-corporate BO account will be Rs. NIL, if Interest Free Security Deposit of Rs. 4,000.00 (Refundable) is received.]	Rs. 400.00 Rs. 1000.00
3.	Dematerialization Postage Charges will be levied Rs. 60.00 upto first 05 certificates and additional Rs. 20.00 for every 05 certificates or in fraction thereof.	Rs. 30.00 per Certificate + Rs. 60.00 for Postage / Courier
4.	Demat Rejection	Rs. 25.00 for postage
5.	Rematerialization	Rs. 15.00 per certificate for every 100 securities or part thereof; Subject to maximum fee of Rs 6,00,000/- or a Flat fee of Rs 15/- per certificate, whichever is higher payable on confirmation of the request. + Rs 30.00 for postage /courier charges.
6.	Transaction Charges (Debit) For Power of Attorney For General Cases	Rs. 20.00 Rs. 40.00
7.	Pledge Creation / Confirmations / Closure	Rs. 20.00
8.	Pledge Invocation	Rs. 25.00
9.	Late Fee per Transaction Slip (As decided by the Company from time to time)	Rs. 10.00
10.	KRA Charges	Rs. 35.00
11.	Minimum billing of transactions (except Annual Maintenance Charges) in financial year in a demat account	Rs. 2,000/- where total value of holding touched Rs. 1(one) crore or above on any day in the financial year. BO accounts where Interest Free Security Deposit of Rs. 4,000.00 (Refundable) is received, Rs. 1,600/-.

1. Charges for other services not covered above, be notified from time to timeseparately.

2. Service Tax / GST will be charged extra as applicable from time totime.

3. Unlock Wealth Sec. Ltd. reserves right to revise the above rate structure from time to time giving 30 daysnotice.

4. Ifthedateofsubmissionanddateofexecutionaresame,suchinstructions willbeexecutedona"besteffort basis"atclient risk.

1st Holder Sign

2nd Holder Sign

3rd Holder Sign