



**Contract Note Cum Tax Invoice**  
(Tax Invoice under Section 31 of GST Act)  
**UNLOCK WEALTH SECURITIES LIMITED.**



Revised/Supplementary

Telephone No.	0512 - 2338134, 2338220	Address Of The member	Dealing Office Address
Fax No.	0512 - 2338220	<b>Registered Address :-</b>	CABIN NO. 32, PADAM TOWERS,
Web Site	www.upsecindia.com	"PADAM TOWERS", 14/113, CIVIL LINES, KANPUR - 208001.	14/113 CIVIL LINES KANPUR
Compliance Officer Name	Alok Tulsyan	<b>Corporate Address :-</b>	
Compliance Officer Phone	0512 - 2338220, Mob: 9918254111	"PADAM TOWERS", 14/113, CIVIL LINES, KANPUR - 208001.	
Compliance Officer Email	alokupse@gmail.com		
Investor Complaint Email	upseseccomplaints@gmail.com		
CIN No	U67120UP2000PLC025330		

Contract Note No.		Exchange	Member ID	SEBI Registration No.	NSDL DP ID	NSDL CMBP ID	CDSL CLIENT ID	CDSL CM ID	CDSL Early Payin Pool ID	Cig.Corp.	Settlement No.	Settlement Date.
Trade Date												
<b>Name of the Client :-</b>		NSE-CM	11168	INZ000159835	IN301330	IN516875	1306130000010042	M51687	1100001100018281			
<b>Address of the Client :-</b>		NSE-FO	11168	INZ000159835								
		BSE-CM	298	INZ000159835								
		BSE-FO	298	INZ000159835								
		BSE-CD	0298	INZ000159835								

State/State Code:  
PAN of Client :-  
UCC of Client. -  
Trading Back Office Code. -  
GST Identification No. of client :

Sir/ Madam,  
I / We have this day done by your order and on your account the following transactions:

Order No.	Order Time	Trade No.	Trade Time	Security/Contract Description	Buy(B)/ Sell(S)	Quantity	Gross Rate/Trade Price Per Unit(Rs.) (in foreign currency)	Gross Rate/Trade Price Per Unit(Rs.)@	Brokerage Per Unit (Rs.)	Net Rate Per Unit (Rs.)	Closing Rate Per Unit (Only For Derivatives) (Rs.)	Net Total (Before Levies) (Rs.)	Remarks
					Buy Qty				Buy Value				
					Sell Qty				Sell Value				
												MTM/Net Value :	

Exchange Cig.Corp.	Payin/Payout Obligation	Securities Transaction Tax	Taxable Value of supply	CGST @ 9.00	SGST @ 9.00	Exchange Transaction Charges	Sebi turnover Fees.	Exchange Clearing Charges	Stamp Duty	Minimum Charges	Demat Chrg.	Clearing Chrg	Total (Net)
<b>Totals</b>													

**Net Amount Receivable(+)/Payable(-) by Client :-**

\* CGST:-Central GST; SGST:- State GST; IGST:-Integrated GST; UTT:- Union Territory Tax. Details of trade-wise levies shall be provided on request.  
@ Converted into INR based on RBI reference rate as on the date of transaction

Transactions mentioned in this contract note cum bill shall be governed and subject to the Rules, Bye-laws, Regulations and Circulars of the respective Exchanges on which trades have been executed and Securities and Exchange Board of India issued from time to time. It shall also be subject to the relevant Acts, Rules, Regulations, Directives, Notifications, Guidelines (including GST Laws) & Circulars issued by SEBI / Government of India / State Governments and Union Territory Governments issued from time to time. The Exchanges provide Complaint Resolution, Arbitration and Appellate arbitration facilities at the Regional Arbitration Centres (RAC). The client may approach its nearest centre, details of which are available on respective Exchange's website. Please visit [www.bseindia.com](http://www.bseindia.com) for BSE, [www.mseil.in](http://www.mseil.in) for MSEI, [www.nseindia.com](http://www.nseindia.com) for NSE and [www.useindia.com](http://www.useindia.com) for USE.

Date :  
Place :

Yours faithfully,  
For :UNLOCK WEALTH SECURITIES LIMITED.  
PAN No. AAACU6058K  
GSTIN of Trading Member : 09AAACU6058K1ZX  
Description of Service : Stock Broking  
Accounting code of Services : 997152  
SANDEEP SETH, ALOK TULSYAN,  
Name & Signature of Partner / Proprietor / Authorized Signatory

\* Trades were executed due to non-compliance by the client towards margin calls made by the Member.

P - Open position of the traded contract is to be physically settled.

**Annexure II**

Statement of Securities Transaction Tax for equity share in a company or a unit of an equity oriented fund.

Stock Exchange :		Stock Broker Name :												
Stock Broker Code :		Trading Client Name :												
Trading Client Code :		Trading Client Mapin :												
Contract No. :		Contract Note :												
SI.No	Security	Transaction Settled By Delivery, Purchase				Transaction Settled By Delivery, Sell				Transaction Settled Other Than Delivery				TOTAL
		Qty	Price	Value	STT	Qty	Price	Value	STT	Qty	Price	Value	STT	STT
Total STT														
Place :														
Date :														