

Unlock Wealth Securities Limited
Disclosure of Investor Complaints Data

Data for every month ending: May 2022

S N	Receive d from	Carried forward d from 1revious ss month	Receive d during the month	Total Pendin g	Resolve d*	Pending at the end of the month**		Average Resoluti on time^ (in days)
						Pendin g for less than 3 month s	Pendin g for more than 3 month s	
1	2	3	4	5	6	7		8
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL		N.A.
2	SEBI (SCORE S)	NIL	NIL	NIL	NIL	NIL		N.A.
3	Stock Exchang es	NIL	NIL	NIL	NIL	NIL		N.A.
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL		N.A.
5	Grand Total	NIL	NIL	NIL	NIL	NIL		N.A.

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous Month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	December – 2021	NIL	NIL	NIL	NIL
2	January - 2022	NIL	NIL	NIL	NIL
3	February – 2022	NIL	NIL	NIL	NIL
4	March - 2022	NIL	NIL	NIL	NIL
5	April - 2022	NIL	NIL	NIL	NIL
6	May - 2022	NIL	NIL	NIL	NIL

	Grand Total	NIL	NIL	NIL	NIL
--	--------------------	-----	-----	-----	-----

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	NIL	1	1	NIL
2	2018-19	NIL	3	3	NIL
3	2019-20	NIL	NIL	NIL	NIL
4	2020-21	NIL	1	1	NIL
5	2021-22	NIL	NIL	NIL	NIL
6	2022-23	NIL	NIL	NIL	NIL
	Grand Total	NIL	5	5	NIL