

Investor Protection and Arbitration

In case of any dispute or other such matters, UPSE Securities Limited will provide to the Clients of the Sub-brokers, a forum for dispute resolution through the Infrastructure of UPSE Securities Limited /U.P. Stock Exchange Limited and/or also through the available systems of conciliation and arbitration of respective Exchanges i.e. of BSE and NSE. A platform/mechanism is available for the Clients for addressing grievances."